

Sequence Reconstruction for Non-Identical Channels

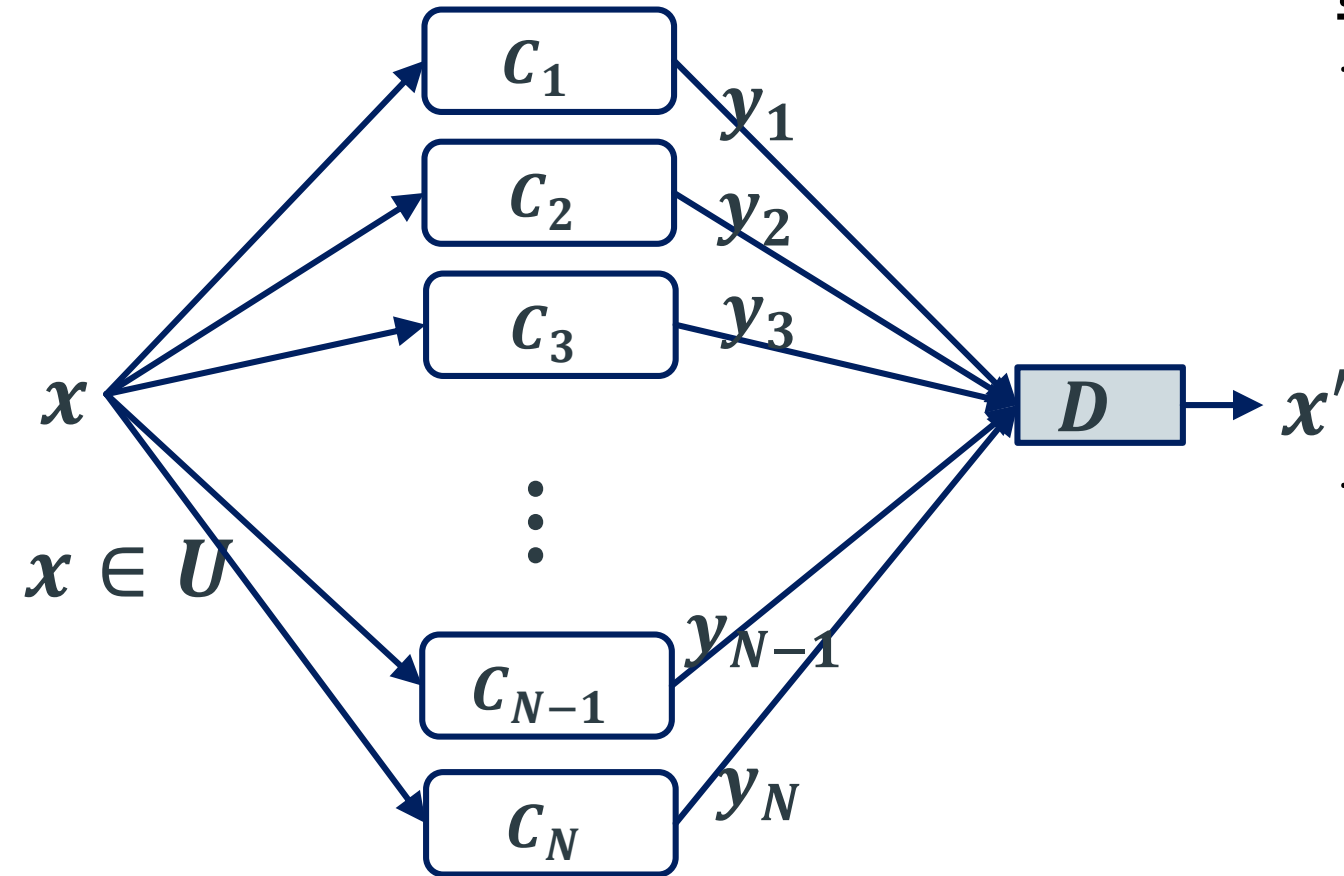
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Computer Science Department
Technion, Haifa, Israel

Coding Seminar, May, 2017



Sequence Reconstruction



Motivation:

- Chemical and biological processes where the information is replicated and can be read from different noisy sources.
- Storage technologies, where the stored information has multiple copies or a single copy is read by several different read heads, e.g., DNA storage.

Levenshtein, "Efficient reconstruction of sequences,"
IEEE Trans. on Inform. Theory, 2001.

Sequence Reconstruction

A channel system of size N
 A t -error channel -
 a channel causes at most t
 errors.

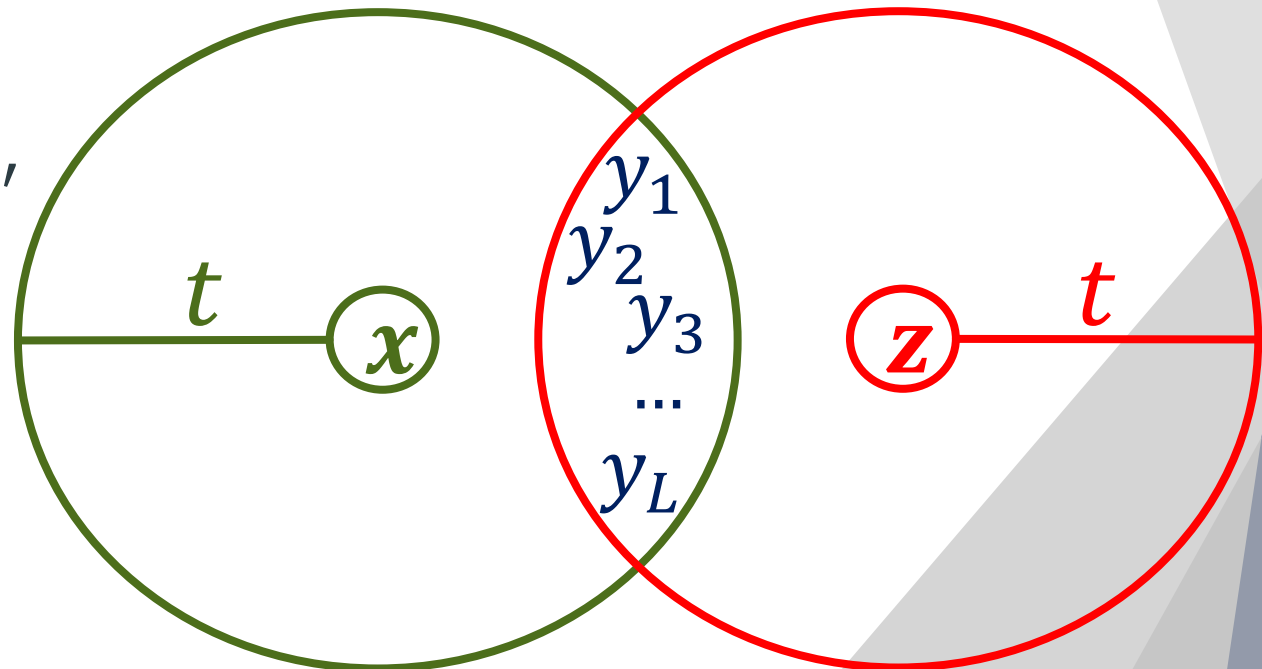
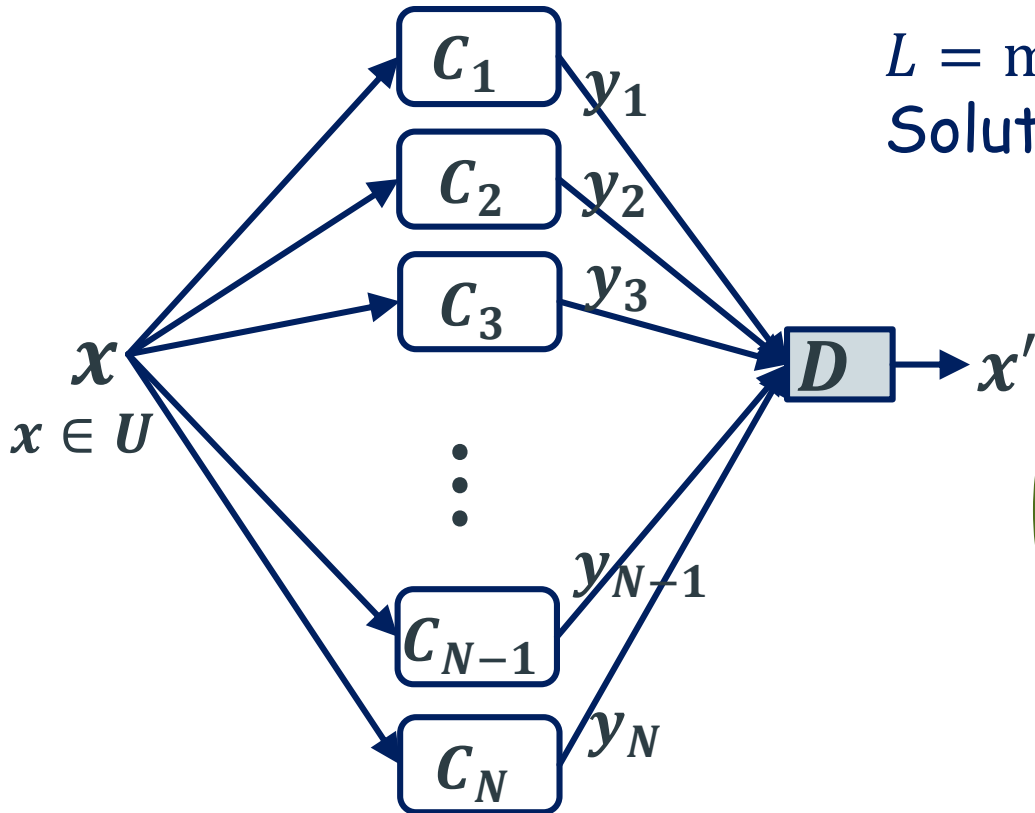
Goal: $\forall x \in U: x' = x$ - Exact reconstruction.

Given: U , the channels are t -error.

Q: What is the minimal N for exact reconstruction?

$$L = \max \{|B_t(x) \cap B_t(z)| : x, z \in U\}$$

Solution: $L + 1$ (Levenshtein'01)

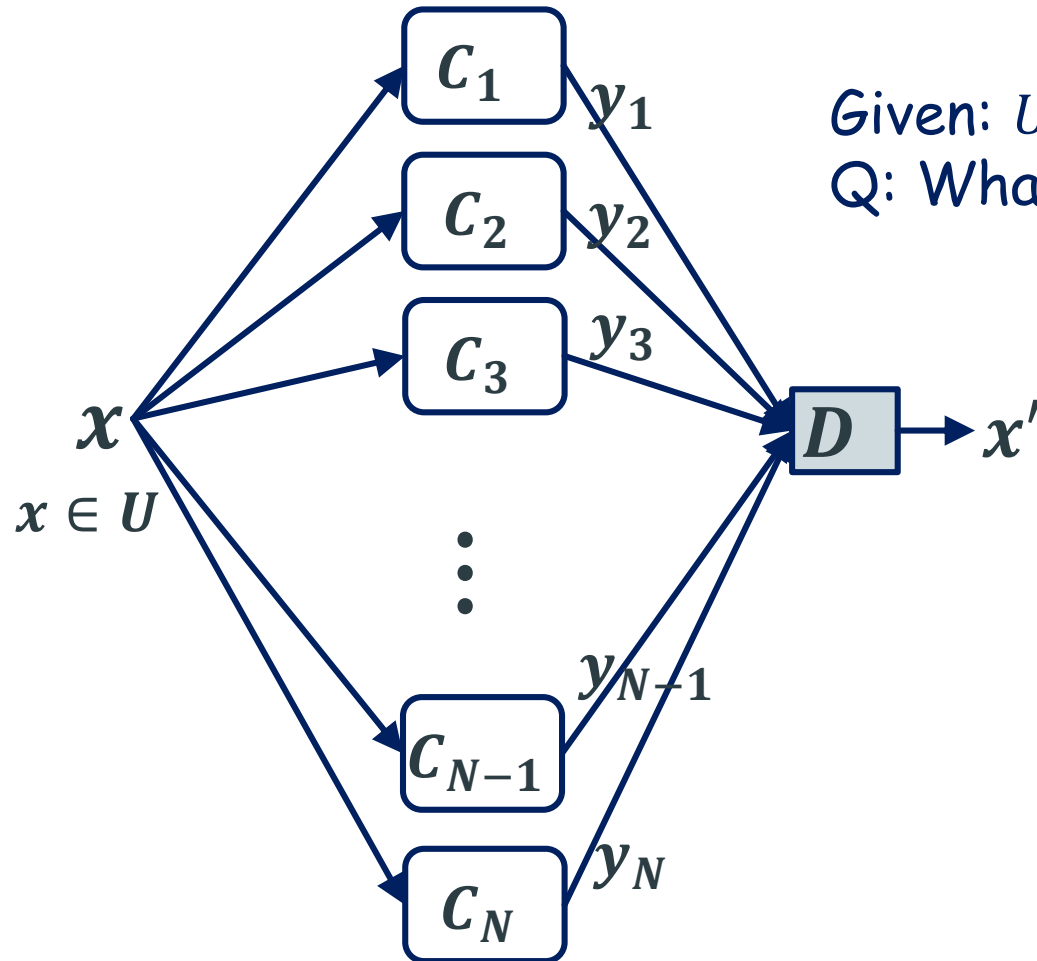


Sequence Reconstruction

Goal: $\forall x \in U: x' = x$ - Exact reconstruction.

Given: U , data about the errors

Q: What is the minimal N for exact reconstruction?



Examples for data about the errors:

1. 1/2 of the channels are t_1 -error, and 1/2 are t_2 -error.
2. The first 1/2 of the channels are t_1 -error, and the rest are t_2 -error.
3. The average number of errors is given

Sequence Reconstruction

References

- Problem presentation. substitution errors, Johnson graphs, deletions, insertions, and more general metric distances. Le'01.
- Some general error graphs
LeKM'08, LeSi'09
- Permutations - K'07, K'08, KLeSi'07,
- Kendall's τ : YSwLaB'13
- Insertions - SGSD'15, GY'16
- Deletions -YG'16

D=Dolecek
G=Gabrys
K=Konstantinova,
La= Langberg,
Le=Levenshtein
M=Molodtsov
Sa=Sala
Si=Siemons,
So=Schoeny
Sw=Schwartz
Y=Yaakobi

Sequence Reconstruction for Non-Identical Channels

Outline

- Problem setup
- Two types of channels
 - ❖ General case
 - ❖ Substitutions errors
 - Explicit solution
 - Examples
 - ❖ Special systems
- ℓ types of channels
- Open problems

Sequence Reconstruction - Problem

V -a finite set. $U \subseteq V$.

$\rho: V \times V \rightarrow \mathbb{N}$: a distance function

$T = (t_1, t_2, \dots, t_\ell), t_1 < t_2 < \dots < t_\ell \in \mathbb{N}$

$P = (p_1, p_2, \dots, p_\ell), 0 < p_1 < p_2 < \dots < p_\ell = 1$

Models:

A (T, P) -channel system:

$$N^u(T, P, U)$$

$[p_i N]$ channels are t_i -error.

A (T, P) -sequenced channel system:

$$N^k(T, P, U)$$

The first $[p_i N]$ channels are t_i -error

A t -channel system:

$$N(t, U)$$

The average number of errors is t

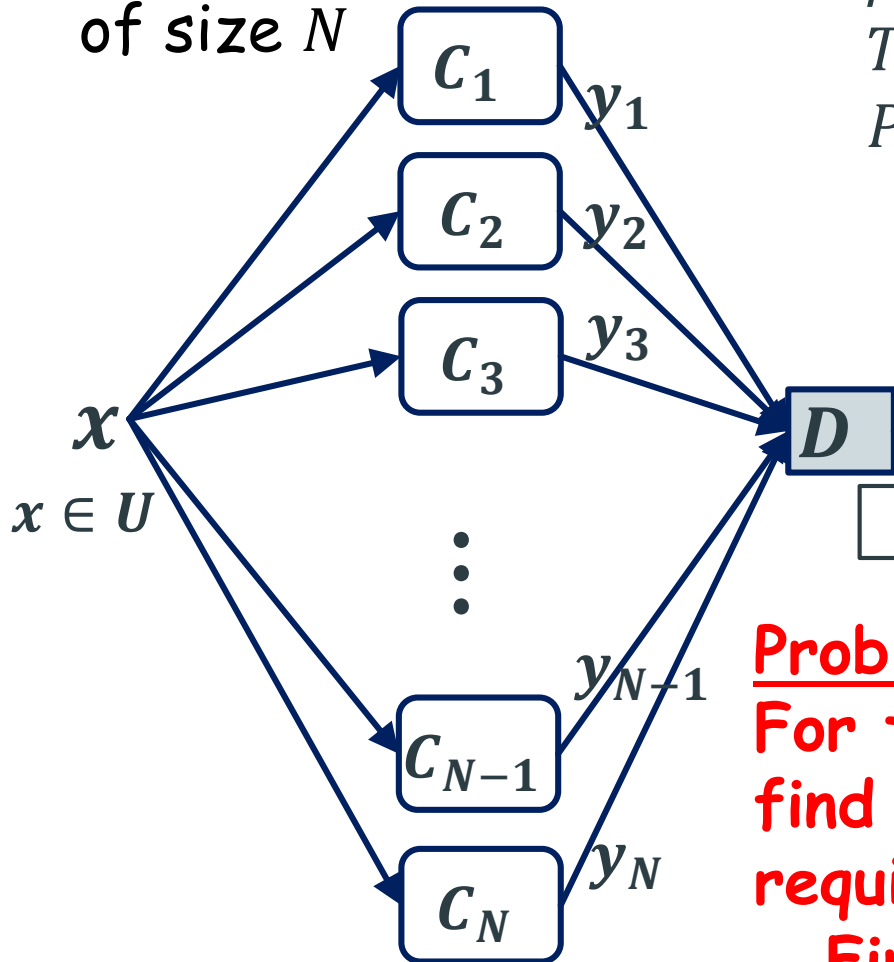
Problem:

For these three models -
 find the minimum size of a channel system
 required for exact reconstruction

- Find $N^u(T, P, U), N^k(T, P, U), N(t, U)$

- Clearly: $N^u(T, P, U) \geq N^k(T, P, U)$

A channel system
 of size N



Sequence Reconstruction - Problem

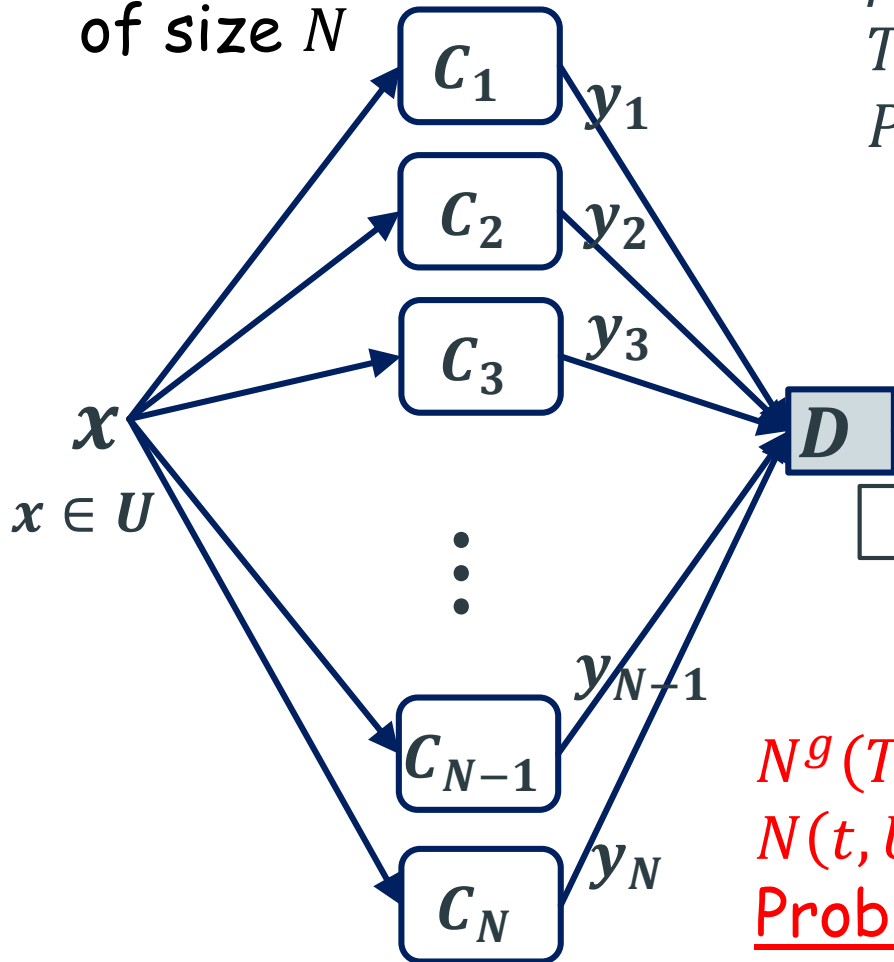
V -a finite set. $U \subseteq V$.

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$P = (p_1, p_2, \dots, p_\ell), 0 < p_1 < p_2 < \dots < p_\ell = 1$

A channel system
of size N



Models:

A (T, P) -channel system:

$$N^u(T, P, U)$$

$[p_i N]$ channels are t_i -error.

A (T, P) -sequenced channel system:

$$N^k(T, P, U)$$

The first $[p_i N]$ channels are t_i -error

A t -channel system:

$$N(t, U)$$

The average number of errors is t

$$N^g(T, P, U) = \max\{N^g(T, P, \{x, z\}) : x, z \in U\}, g \in \{u, k\}$$

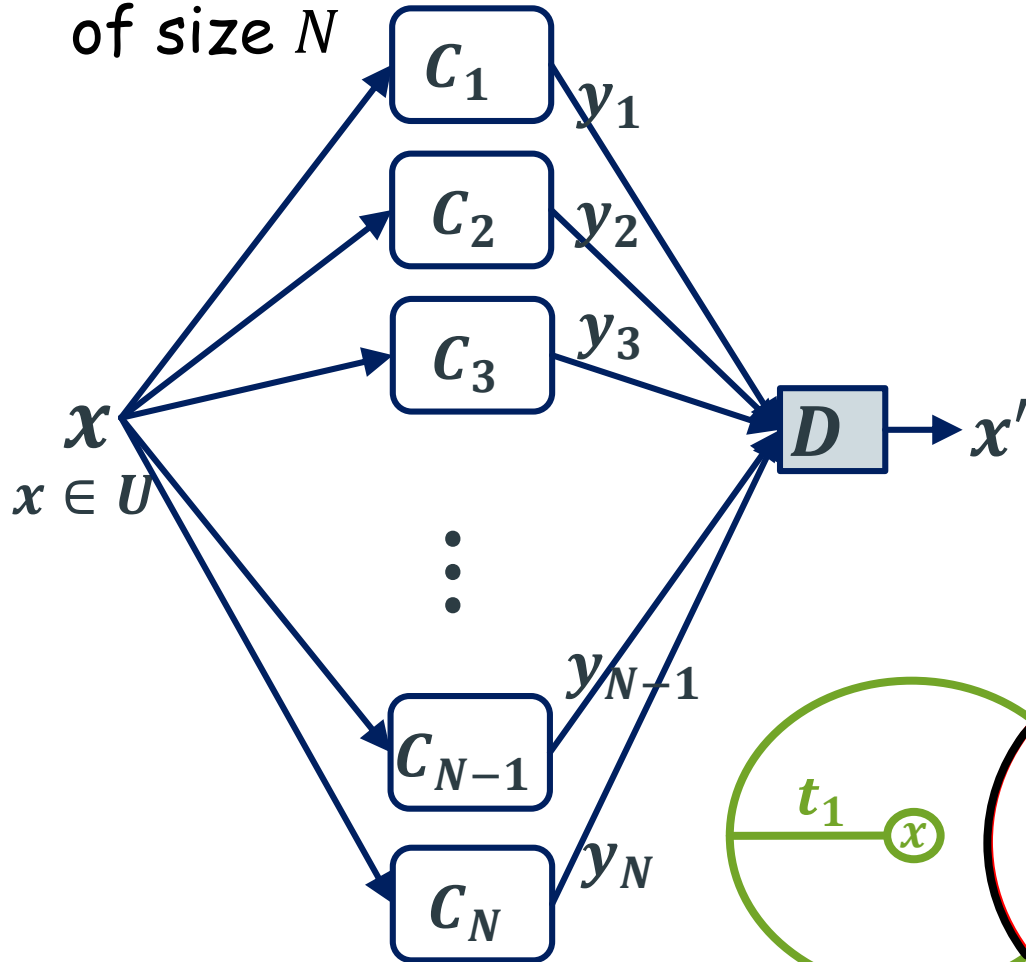
$$N(t, U) = \max\{N(t, \{x, z\}) : x, z \in U\}$$

Problem: For $U = \{x, z\}$

Find $N^u(T, P, U), N^k(T, P, U), N(t, U)$

Sequence Reconstruction - Notations

A channel system of size N



V : a finite set

$\rho: V \times V \rightarrow \mathbb{N}$: a distance function

$U \subseteq V$

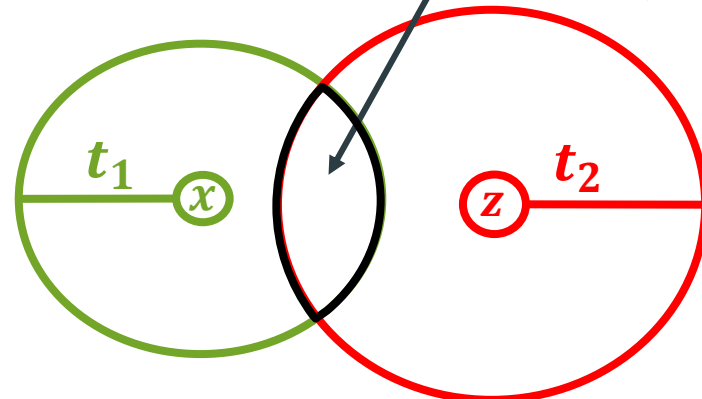
$B_t(x) = \{y : \rho(x, y) \leq t\}$

$I(x, z, t_1, t_2) = B_{t_1}(x) \cap B_{t_2}(z)$

$I(x, z, t) = B_t(x) \cap B_t(z)$

$N(x, z, t_1, t_2) = |I(x, z, t_1, t_2)|$

$N(x, z, t) = |I(x, z, t)|$



Sequence Reconstruction for Non-Identical Channels

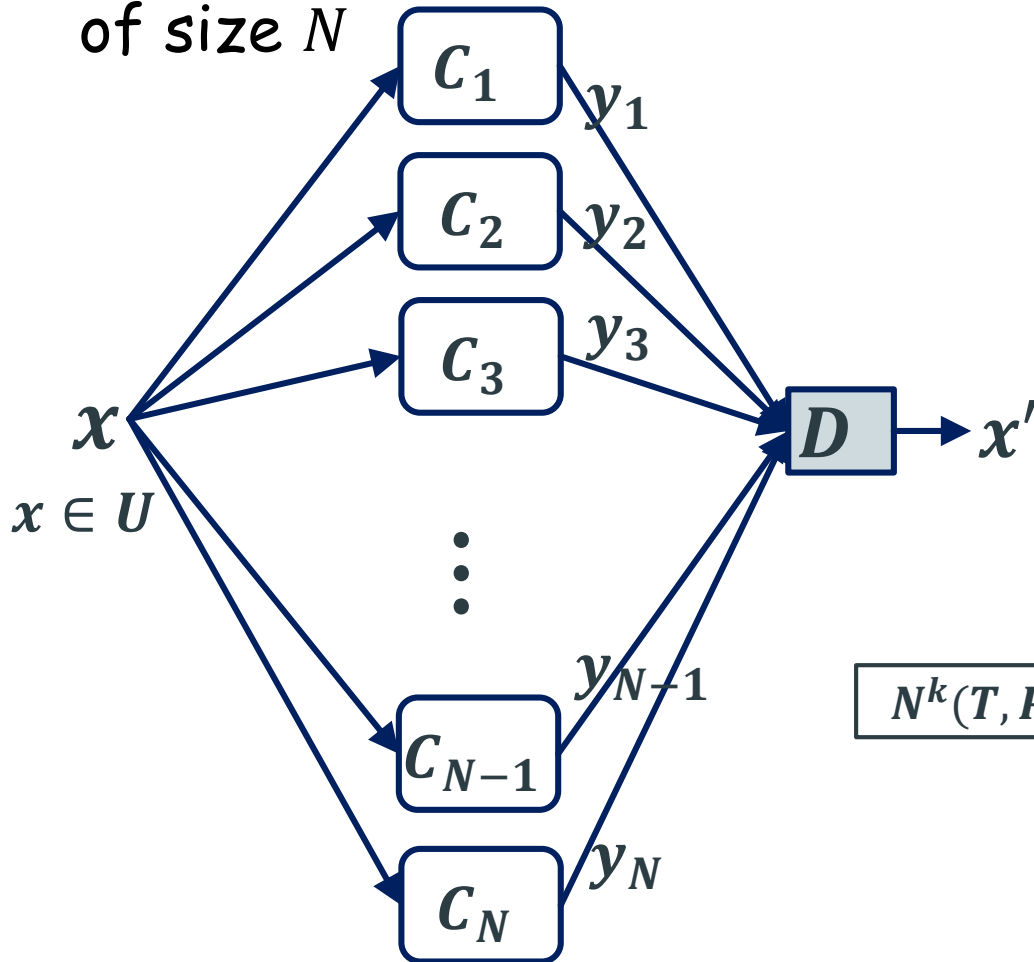
Outline

- Problem setup
- Two types of channels
 - ❖ General case
 - ❖ Substitutions errors
 - Explicit solution
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- Open problems



Sequence Reconstruction - $\ell = 2$

A channel system of size N



$$U = \{x, z\} \subseteq V, \rho,$$

$$T = (t_1, t_2), t_1 < t_2 \in \mathbb{N}$$

$$P = (p, 1), 0 < p < 1$$

Models:

A (T, P) -channel system:

$[pN]$ channels are t_1 -error
All channels are t_2 -error

$$N^u(T, P, U)$$

A (T, P) -sequenced channel system:

The first $[pN]$ channels are t_1 -error
All channels are t_2 -error

$$N^k(T, P, U)$$

Sequence Reconstruction - $\ell = 2$

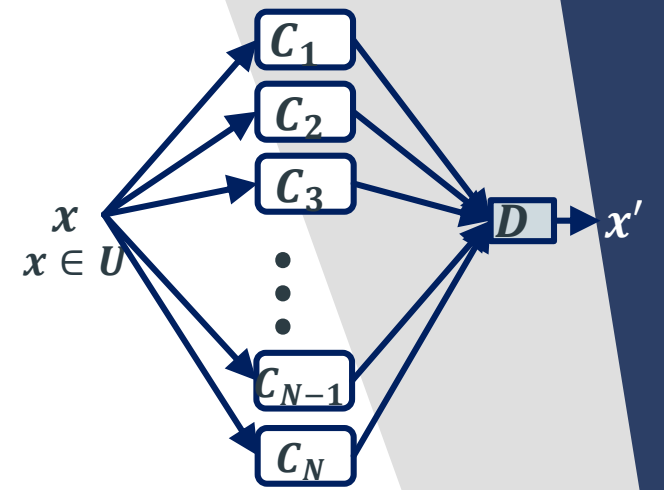
Sequenced Model

$x, z \in V, \rho, T = (t_1, t_2), t_1 < t_2 \in \mathbb{N}, P = (p, 1), 0 < p < 1$

A (T, P) -sequenced channel system:

The first $\lceil pN \rceil$ channels are t_1 -error

All channels are t_2 -error



Problem: $N^k(T, P, \{x, z\}) = ?$

Solution:

$$N^k(T, P, \{x, z\}) = L + 1$$

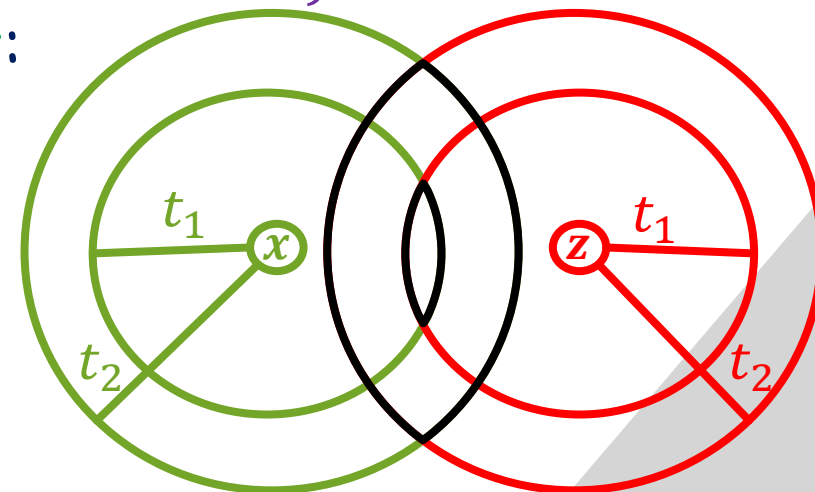
$$L = \min \left\{ \left\lceil \frac{N(x, z, t_1)}{p} \right\rceil, N(x, z, t_2) \right\}$$

Proof: first part: $N \geq L + 1$ is sufficient:

- $N > N(x, z, t_2)$

OR

- $N > \left\lceil \frac{N(x, z, t_1)}{p} \right\rceil \Rightarrow \lceil pN \rceil > N(x, z, t_1)$



Sequence Reconstruction - $\ell = 2$

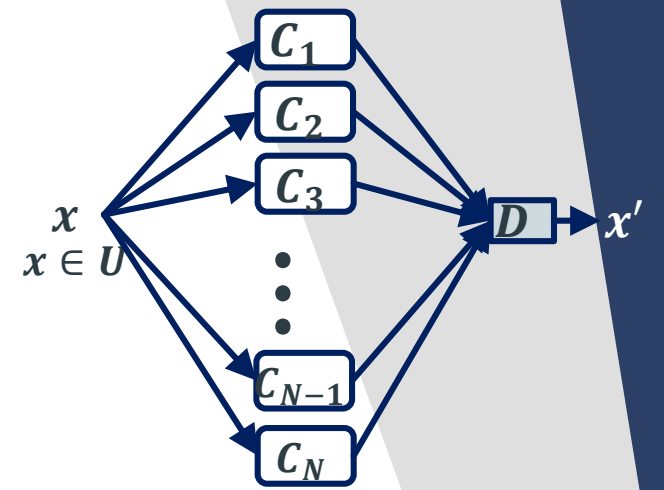
Sequenced Model

$x, z \in V, \rho, T = (t_1, t_2), t_1 < t_2 \in \mathbb{N}, P = (p, 1), 0 < p < 1$

A (T, P) -sequenced channel system:

The first $\lceil pN \rceil$ channels are t_1 -error

All channels are t_2 -error



Problem: $N^k(T, P, \{x, z\}) = ?$

Solution:

$$N^k(T, P, \{x, z\}) = L + 1$$

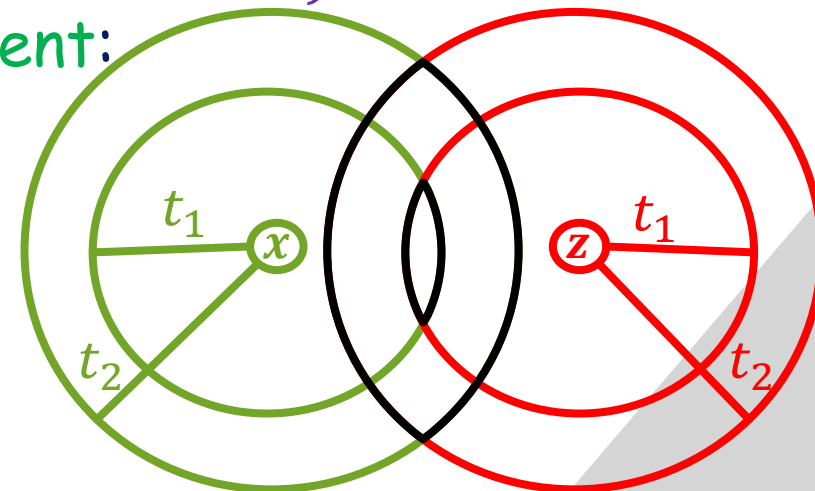
$$L = \min \left\{ \left\lfloor \frac{N(x, z, t_1)}{p} \right\rfloor, N(x, z, t_2) \right\}$$

Proof: second part: $N \leq L$ is not-sufficient:

- $N \leq N(x, z, t_2)$

AND

- $N \leq \left\lfloor \frac{N(x, z, t_1)}{p} \right\rfloor \Rightarrow \lceil pN \rceil \leq N(x, z, t_1)$



Sequence Reconstruction - $\ell = 2$

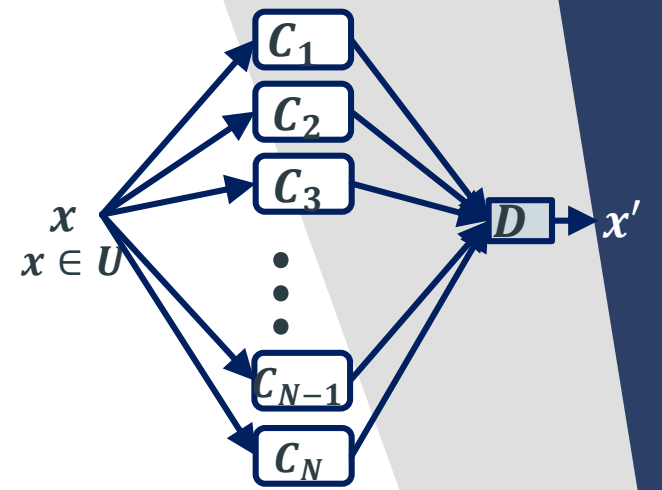
Non-Sequenced Model

$x, z \in V, \rho, T = (t_1, t_2), t_1 < t_2 \in \mathbb{N}, P = (p, 1), 0 < p < 1$

A (T, P) -channel system:

$[pN]$ channels are t_1 -error

All channels are t_2 -error



Problem: $N^u(T, P, \{x, z\}) = ?$

Solution: $N^u(T, P, \{x, z\}) = L + 1$

$$L = \min \left\{ \left\lfloor \frac{N(x, z, t_1, t_2)}{p} \right\rfloor, \left\lfloor \frac{N(z, x, t_1, t_2)}{p} \right\rfloor, N(x, z, t_2), N'(x, z, t_1, p) \right\}$$

$$N'(x, z, t_1, p) = \min \{ J : 2[pJ] - J > N(x, z, t_1), J \geq 1 \} - 1$$

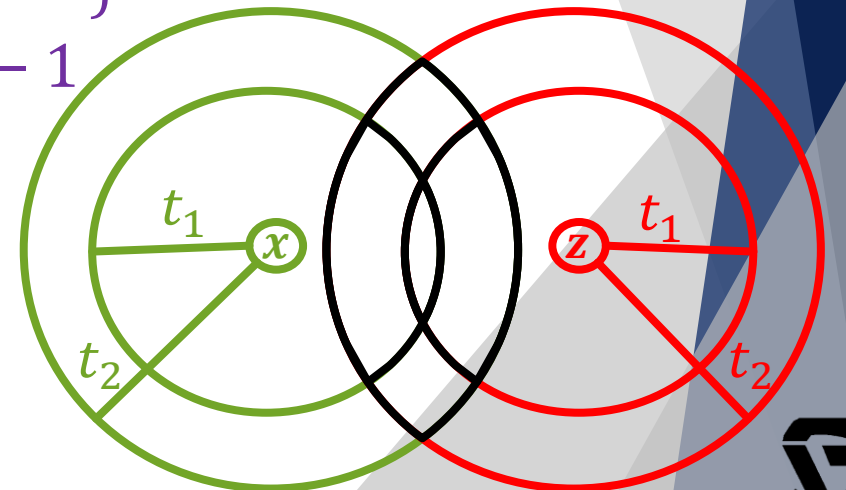
Proof: first part: $N \geq L + 1$ is sufficient:

1) $N > N(x, z, t_2)$ OR

2) $N > \left\lfloor \frac{N(x, z, t_1, t_2)}{p} \right\rfloor \Rightarrow [pN] > N(x, z, t_1, t_2)$ OR

3) $N > \left\lfloor \frac{N(z, x, t_1, t_2)}{p} \right\rfloor \Rightarrow [pN] > N(z, x, t_1, t_2)$ OR

4) $N = L + 1 \Rightarrow 2[pN] - N > N(x, z, t_1) \Rightarrow 2[pN] - N(x, z, t_1) > N$



Sequence Reconstruction - $\ell = 2$

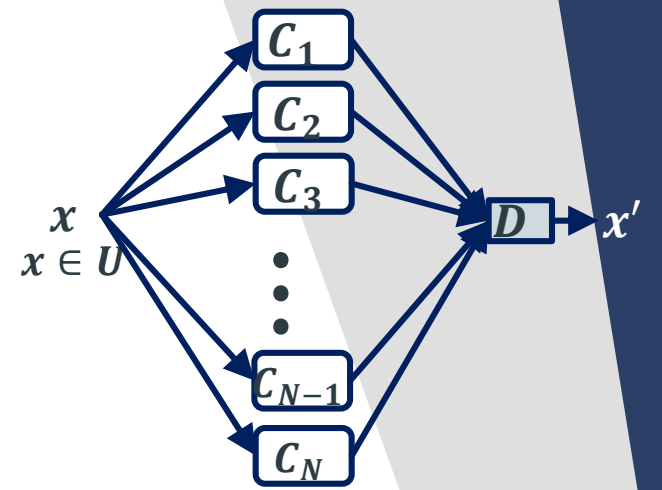
Non-Sequenced Model

$x, z \in V, \rho, T = (t_1, t_2), t_1 < t_2 \in \mathbb{N}, P = (p, 1), 0 < p < 1$

A (T, P) -channel system:

$[pN]$ channels are t_1 -error

All channels are t_2 -error



Problem: $N^u(T, P, \{x, z\}) = ?$

Solution:

$$N^u(T, P, \{x, z\}) = L + 1$$

$$L = \min \left\{ \left\lfloor \frac{N(x, z, t_1, t_2)}{p} \right\rfloor, \left\lfloor \frac{N(z, x, t_1, t_2)}{p} \right\rfloor, N(x, z, t_2), N'(x, z, t_1, p) \right\}$$

$$N'(x, z, t_1, p) = \min \{ J : 2[pJ] - J > N(x, z, t_1), J \geq 1 \} - 1$$

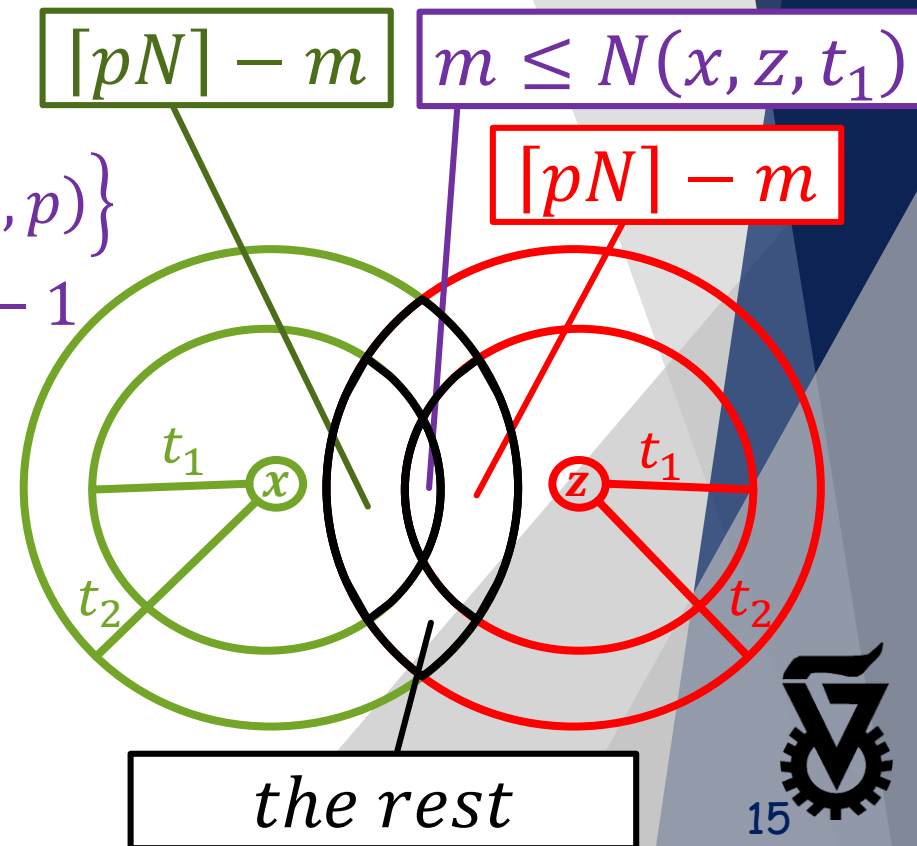
Proof: second part: $N \leq L$ is not sufficient:

1) $N \leq N(x, z, t_2)$ AND

2) $N \leq \left\lfloor \frac{N(x, z, t_1, t_2)}{p} \right\rfloor \Rightarrow [pN] \leq N(x, z, t_1, t_2)$ AND

3) $N \leq \left\lfloor \frac{N(z, x, t_1, t_2)}{p} \right\rfloor \Rightarrow [pN] \leq N(z, x, t_1, t_2)$ AND

4) $N \leq N'(x, z, t_1, p) \Rightarrow 2[pN] - N(x, z, t_1) \leq N$



Sequence Reconstruction - $\ell = 2$

Summarize - a (T, P) -Channel System

$x, z \in V, \rho, T = (t_1, t_2), t_1 < t_2 \in \mathbb{N}, P = (p, 1), 0 < p < 1$

A (T, P) -channel system:

$[pN]$ channels are t_1 -error

All channels are t_2 -error

$$N^u(T, P, \{x, z\}) = L + 1$$

$$L = \min \left\{ \left\lfloor \frac{N(x, z, t_1, t_2)}{p} \right\rfloor, \left\lfloor \frac{N(z, x, t_1, t_2)}{p} \right\rfloor, N(x, z, t_2), N'(x, z, t_1, p) \right\}$$

$$N'(x, z, t_1, p) = \min \{ J : 2[pJ] - J > N(x, z, t_1), J \geq 1 \} - 1$$

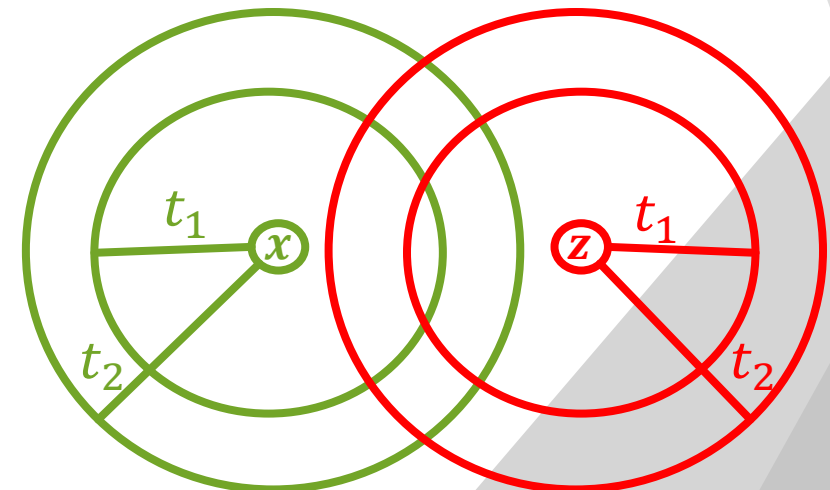
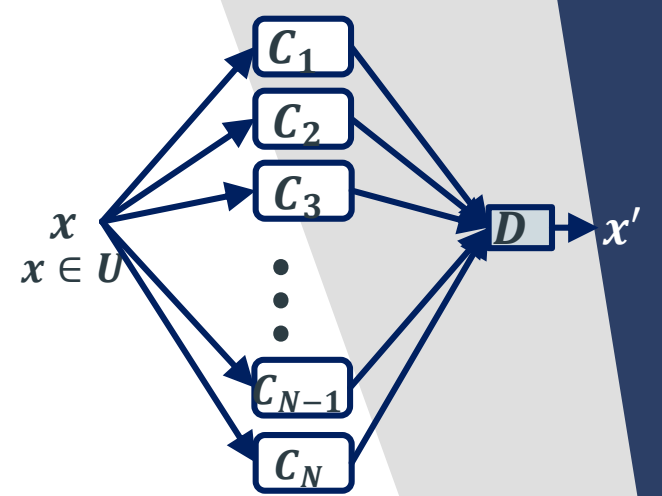
A (T, P) -sequenced channel system:

The first $[pN]$ channels are t_1 -error

All channels are t_2 -error

$$N^k(T, P, \{x, z\}) = L + 1$$

$$L = \min \left\{ \left\lfloor \frac{N(x, z, t_1)}{p} \right\rfloor, N(x, z, t_2) \right\}$$



Sequence Reconstruction for Non-Identical Channels

Outline

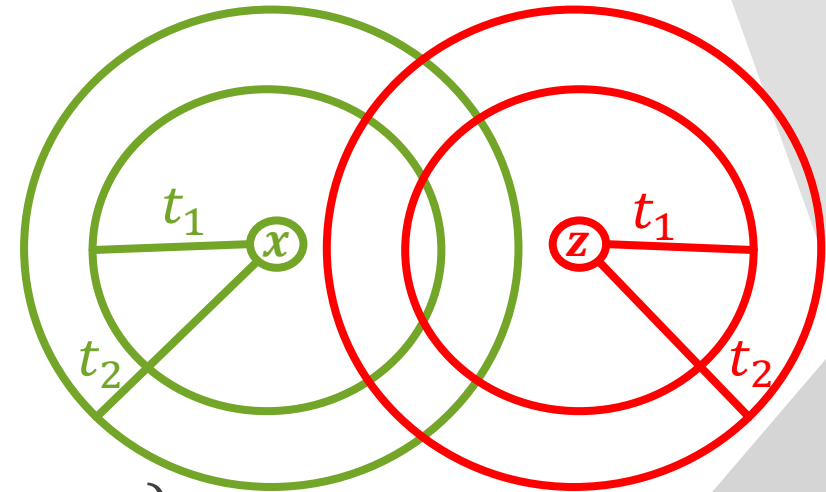
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Sequence Reconstruction - $\ell = 2$ Substitution (Hamming)

$x, z \in V$, $\rho, d = \rho(x, z)$, $T = (t_1, t_2)$, $t_1 < t_2 \in \mathbb{N}$, $P = (p, 1)$, $0 < p < 1$
 $[pN]$ channels are t_1 -error, all channels are t_2 -error.

- $N(d, t_1, t_2) = N(x, z, t_1, t_2)$
- $N^g(T, P, d) = N^g(T, P, \{x, z\})$
- $N^g(T, P, d) \geq N^g(T, P, d + 1)$
- $N^k(T, P, d) = \min \left\{ \left\lfloor \frac{N(d, t_1)}{p} \right\rfloor, N(d, t_2) \right\}$
- $N^u(T, P, d) = \min \left\{ \left\lfloor \frac{N(d, t_1, t_2)}{p} \right\rfloor, N(d, t_2), N'(d, t_1, p) \right\}$
 $N'(d, t_1, p) = \min \{ J : 2[pJ] - J > N(d, t_1), J \geq 1 \}$



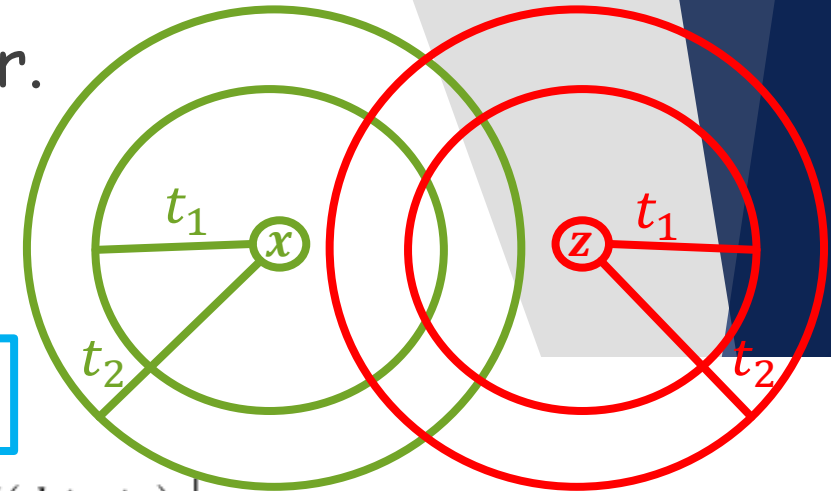
Sequence Reconstruction - $\ell = 2$ Substitution (Hamming) - Non-Sequenced Model

$x, z \in V$, $\rho, d = \rho(x, z)$, $T = (t_1, t_2)$, $t_1 < t_2 \in \mathbb{N}$, $P = (p, 1)$, $0 < p < 1$

$[pN]$ channels are t_1 -error, all channels are t_2 -error.

$$N^u(T, P, d) = \min \left\{ \left\lfloor \frac{N(d, t_1, t_2)}{p} \right\rfloor, N(d, t_2), N'(d, t_1, p) \right\}$$

$$N'(d, t_1, p) = \min \{ J : 2[pJ] - J > N(d, t_1), J \geq 1 \}$$



For $0 < p \leq 1/2$:

For $1/2 < p < 1$:

$$N^u(T, P, d) = \begin{cases} 1 & \text{if } d > 2t_1 \\ N(d, t_2) + 1 & \text{otherwise,} \\ \lfloor N(d, t_1, t_2)/p \rfloor + 1 & \text{and } t_2 = \\ & \text{otherwise.} \end{cases}$$

$$N^u(T, P, d) = \begin{cases} \left\lfloor \frac{N(d, t_1, t_2)}{p} \right\rfloor & \text{if } d \text{ is even, } t_2 = t_1 + 1, \\ & \text{and } \left(\left(\frac{1}{2} < p \leq \frac{2}{3} \right) \vee \right. \\ & \left. \left(\frac{2}{3} < p < \frac{3}{4} \wedge d < \frac{2-2p}{3p-2} \right) \right), \\ N'(d, t_1, p) & \text{otherwise.} \end{cases}$$

$$N^u(T, P, d) = \begin{cases} 1 & \text{if } d > 2t_1, \\ \Theta(n \lfloor \frac{t_1 + t_2 - d}{2} \rfloor) & \text{otherwise.} \end{cases}$$

$$N^u(T, P, d) = \Theta(n \lfloor \frac{2t_1 - d}{2} \rfloor)$$

Sequence Reconstruction - $\ell = 2$

Substitution (Hamming) - Non-Sequenced Model

$x, z \in V$, $\rho, d = \rho(x, z)$, $T = (t_1, t_2)$, $t_1 < t_2 \in \mathbb{N}$, $P = (p, 1)$, $0 < p < 1$ For $1/2 < p < 1$:

$[pN]$ channels are t_1 -error, all channels are t_2 -error.

$$N^u(T, P, d) = \Theta(n^{\lfloor \frac{2t_1 - d}{2} \rfloor})$$

$$N^u(T, P, d) = \min \left\{ \left\lfloor \frac{N(d, t_1, t_2)}{p} \right\rfloor, N(d, t_2), N'(d, t_1, p) \right\}$$

For $0 < p \leq 1/2$:

$$N'(d, t_1, p) = \min \{ J : 2[pJ] - J > N(d, t_1), J \geq 1 \}$$

$$N^u(T, P, d) = \begin{cases} 1 & \text{if } d > 2t_1, \\ \Theta(n^{\lfloor \frac{t_1 + t_2 - d}{2} \rfloor}) & \text{otherwise.} \end{cases}$$

	All channels are identical	A (T, P) -channel system
$0 < p \leq \frac{1}{2}, d = 1, T = (2, 4)$	$\Theta(n^3)$	$\Theta(n^2)$
$0 < p \leq \frac{1}{2}, d = 1, T = (2, 8)$	$\Theta(n^7)$	$\Theta(n^4)$
$\frac{1}{2} < p \leq \frac{2}{3}, d = 2, T = (4, 5)$	$\Theta(n^4)$	$\Theta(n^3)$

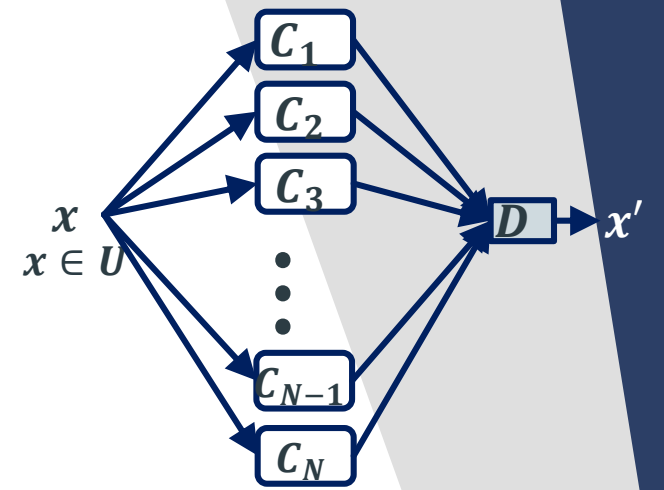
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Sequence Reconstruction - A (T, i, b) -Channel System

$x, z \in V, \rho, T = (t_1, t_2), t_1 < t_2 \in \mathbb{N}, b \in \mathbb{N}, i \in \{1, 2\}$



A (T, i, b) -sequenced channel system:

The first b channels are t_i -error

All channels are t_{3-i} -error

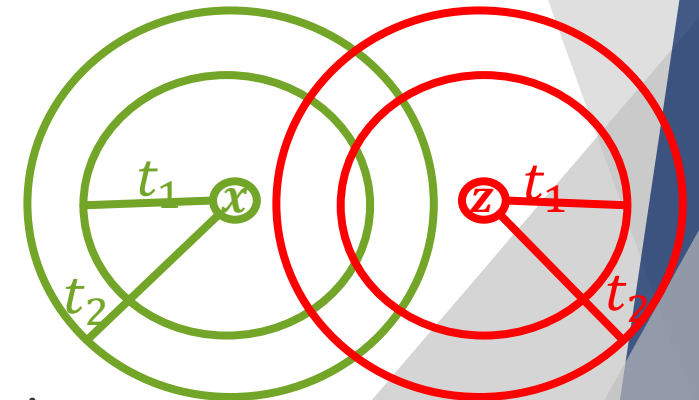
$N^k(T, i, b, \{x, z\})$ - minimum size of a (T, i, b) -sequenced channel system required for exact reconstruction

A (T, i, b) -channel system:

b channels are t_i -error

All channels are t_{3-i} -error

$N^u(T, i, b, \{x, z\})$ - minimum size of a (T, i, b) -channel system required for exact reconstruction



Sequence Reconstruction

A $(T, 1, b)$ -Channel System

$$x, z \in V, \rho, T = (t_1, t_2), t_1 < t_2 \in \mathbb{N}, b \in \mathbb{N}$$

A $(T, 1, b)$ -sequenced channel system:

The first b channels are t_1 -error

All channels are t_2 -error

A $(T, 1, b)$ -channel system:

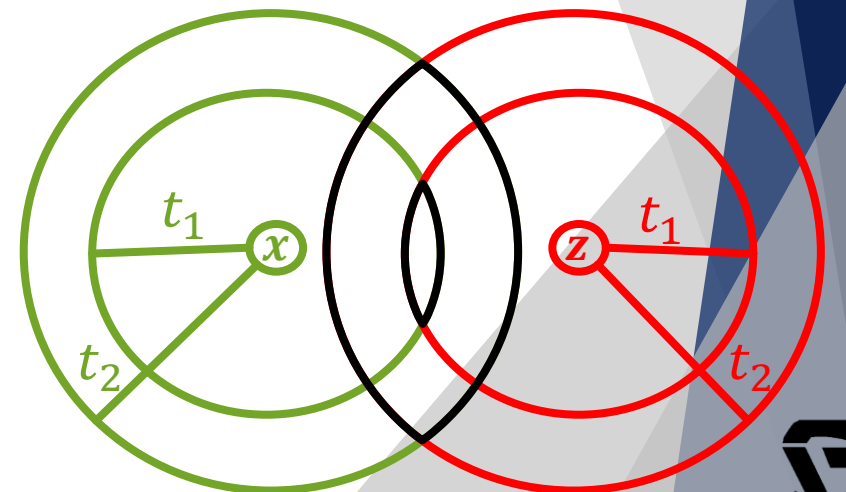
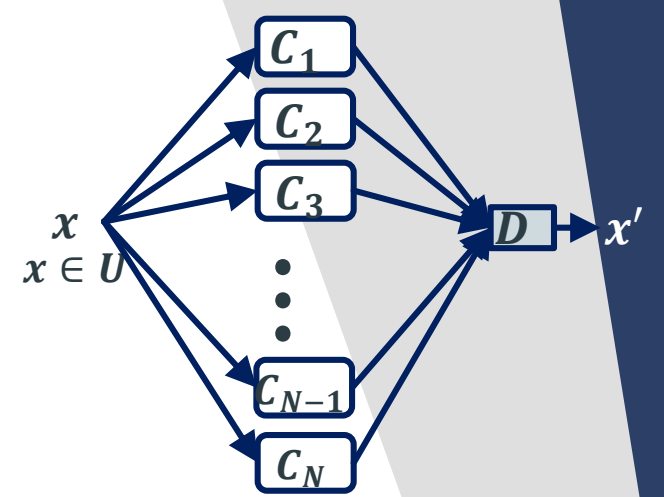
b channels are t_1 -error

All channels are t_2 -error

Problem: $N^k(T, 1, b, \{x, z\}) = ?$, $N^u(T, 1, b, \{x, z\}) = ?$

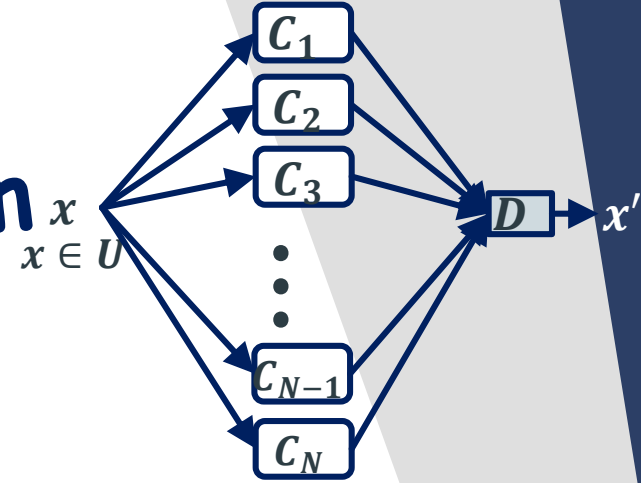
Solution: $N^k(T, 1, b, \{x, z\}) = N^u(kT, 1, b, \{x, z\}) = L + 1$

$$L = \begin{cases} N(x, z, t_2), & \text{if } N(x, z, t_1) \geq b \\ N(x, z, t_1), & \text{else} \end{cases}$$



Sequence Reconstruction

A $(T, 2, b)$ -Sequenced Channel System



$$x, z \in V, \rho, T = (t_1, t_2), t_1 < t_2 \in \mathbb{N}, b \in \mathbb{N}$$

A $(T, 2, b)$ -sequenced channel system:

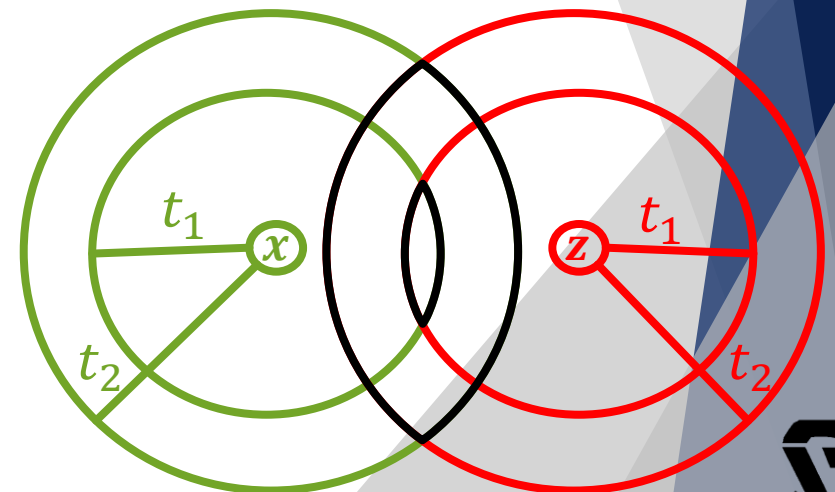
The first b channels are t_2 -error

All channels are t_1 -error

Problem: $N^k(T, 2, b, \{x, z\}) = ?$

Solution: $N^k(T, 2, b, \{x, z\}) = L + 1$

$$L = \min\{N(x, z, t_1) + b, N(x, z, t_2)\}$$



Sequence Reconstruction

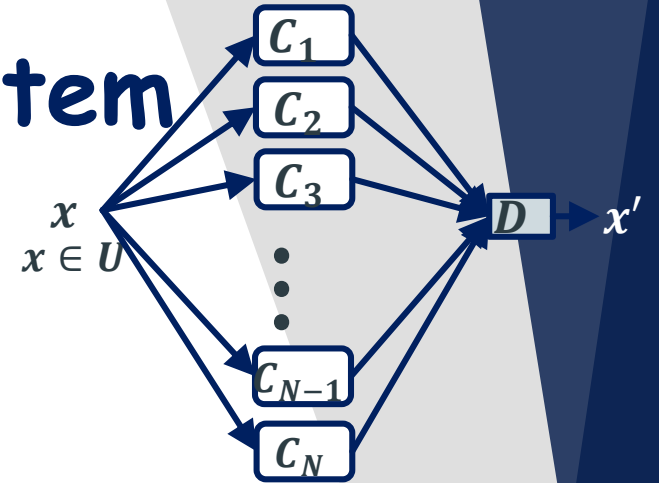
A $(T, 2, b)$ -Non-Sequenced Channel System

$$x, z \in V, \rho, T = (t_1, t_2), t_1 < t_2 \in \mathbb{N}, b \in \mathbb{N}$$

A $(T, 2, b)$ -channel system:

b channels are t_2 -error

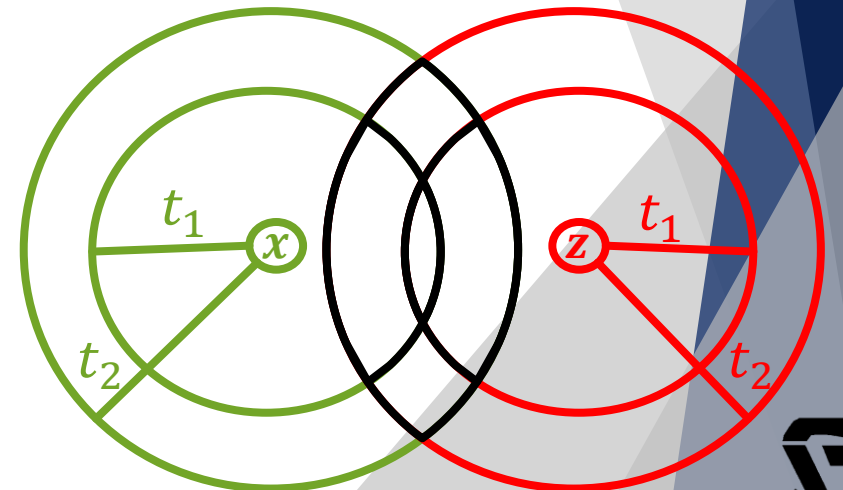
All channels are t_1 -error



Problem: $N^u(T, 2, b, \{x, z\}) = ?$

Solution: $N^u(T, 2, b, \{x, z\}) = L + 1$

$$L = \min \left\{ \begin{array}{l} N(x, z, t_1, t_2) + b, \\ N(z, x, t_1, t_2) + b, \\ N(x, z, t_2), \\ N(x, z, t_1) + 2b \end{array} \right\}$$



Sequence Reconstruction - $\ell = 2$

$x, z \in V, \rho, T = (t_1, t_2), t_1 < t_2 \in \mathbb{N}, P = (p, 1), 0 < p < 1, b \in \mathbb{N}$.

Solution: $N = L + 1$, where $L =$

	Sequenced	Non-Sequenced
$A(T, P)$ -channel system	$\min \left\{ \left\lfloor \frac{N(x, z, t_1)}{p} \right\rfloor, N(x, z, t_2) \right\}$	$\min \left\{ \begin{array}{l} \left\lfloor \frac{N(x, z, t_1, t_2)}{p} \right\rfloor \\ \left\lfloor \frac{N(z, x, t_1, t_2)}{p} \right\rfloor \\ N(x, z, t_2), N'(x, z, t_1, p) \end{array} \right\}$
$A(T, 1, b)$ -channel system	$\begin{cases} N(x, z, t_2), & \text{if } N(x, z, t_1) \geq b \\ N(x, z, t_1), & \text{else} \end{cases}$	$\begin{cases} N(x, z, t_2), & \text{if } N(x, z, t_1) \geq b \\ N(x, z, t_1), & \text{else} \end{cases}$
$A(T, 2, b)$ -channel system	$\min\{N(x, z, t_1) + b, N(x, z, t_2)\}$	$\min \left\{ \begin{array}{l} N(x, z, t_1, t_2) + b, \\ N(z, x, t_1, t_2) + b, \\ N(x, z, t_2), \\ N(x, z, t_1) + 2b \end{array} \right\}$

Sequence Reconstruction for Non-Identical Channels

Outline

- Problem setup
- Two types of channels
 - ❖ General case
 - ❖ Substitutions errors
 - Explicit solution
 - Examples
 - ❖ Special systems
- ℓ types of channels
- Open problems

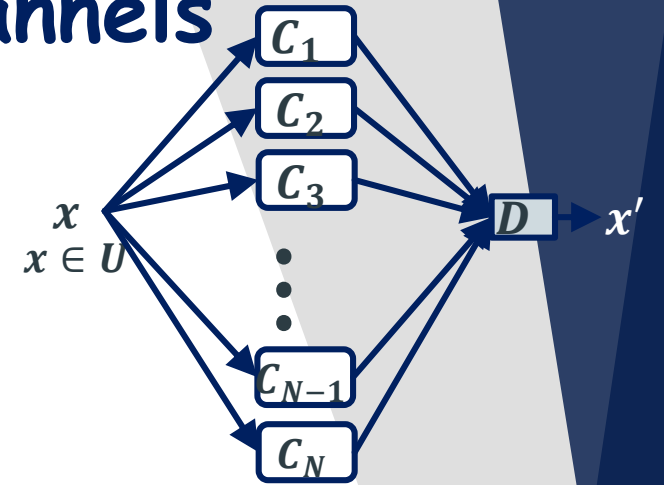
Sequence Reconstruction - ℓ Types of Channels

Sequenced Model

$$x, z \in V, \rho,$$

$$T = (t_1, t_2, \dots, t_\ell), t_1 < t_2 < \dots < t_\ell \in \mathbb{N},$$

$$P = (p_1, p_2, \dots, p_\ell), 0 < p_1 < p_2 < \dots < p_\ell = 1$$

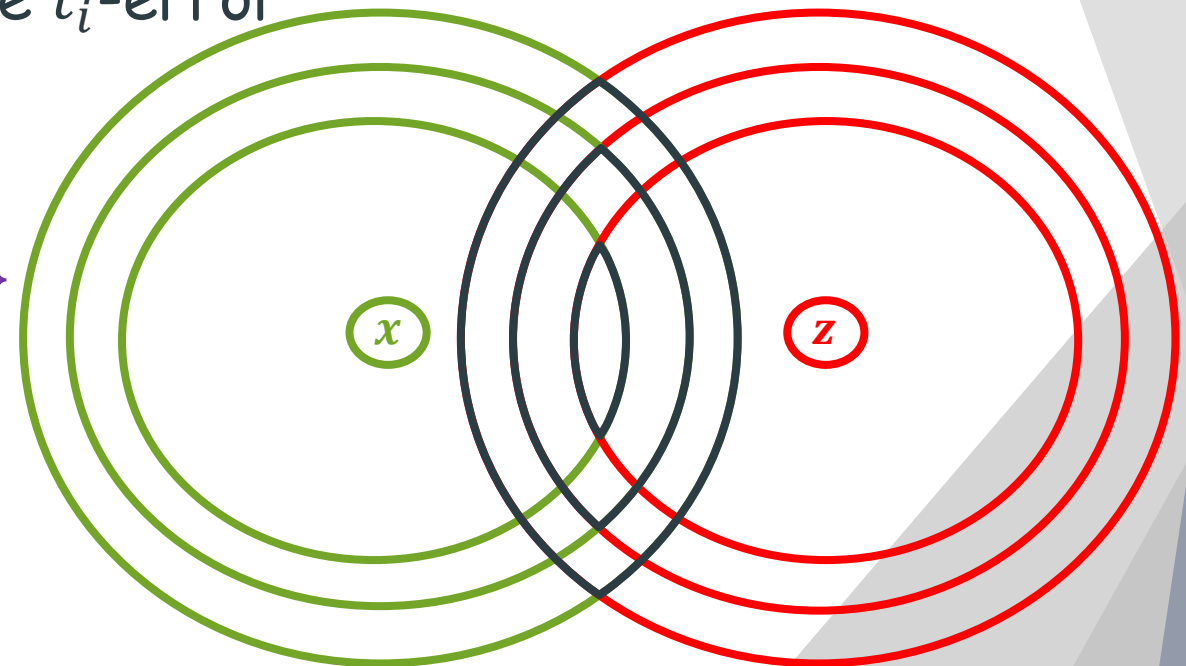


A (T, P) -sequenced channel system:

The first $\lceil p_i N \rceil$ channels are t_i -error

$$N^k(T, P, U) = L + 1$$

$$L = \min \left\{ \left\lceil \frac{N(x, z, t_i)}{p_i} \right\rceil : 1 \leq i \leq \ell \right\}$$



Sequence Reconstruction - ℓ Types of Channels

Non-Sequenced Model

$$x, z \in V, \rho,$$

$$T = (t_1, t_2, \dots, t_\ell), t_1 < t_2 < \dots < t_\ell \in \mathbb{N},$$

$$P = (p_1, p_2, \dots, p_\ell), 0 < p_1 < p_2 < \dots < p_\ell = 1$$

A (T, P) -channel system:

$[p_i N]$ channels are t_i -error

$$N^u(T, P, U) = \min\{L_1, L_2, L_3\} + 1$$

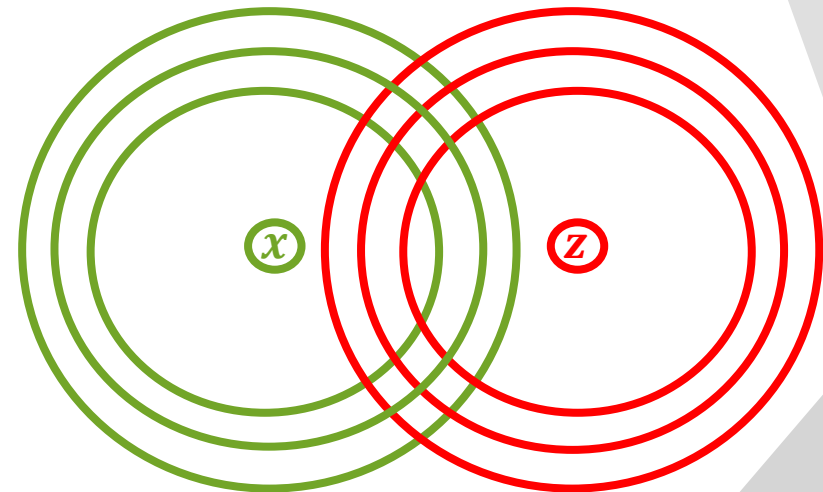
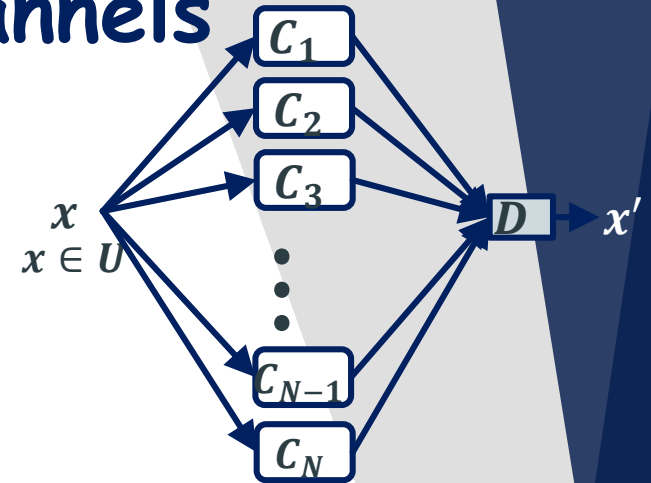
$$L_1 = \min \left\{ \left\lfloor \frac{N(x, z, t_i, t_\ell)}{p_i} \right\rfloor : 1 \leq i < \ell \right\}$$

$$L_2 = \min \left\{ \left\lfloor \frac{N(z, x, t_i, t_\ell)}{p_i} \right\rfloor : 1 \leq i < \ell \right\}$$

$$L_2 = N(x, z, t_\ell)$$

$$L_4 = \min\{N'(x, z, t_i, t_j, p_i, p_j) : 1 \leq i, j < \ell\}$$

$$N'(x, z, t_i, t_j, p_i, p_j) = \min\{J : [p_i J] + [p_j J] - J > N(x, z, t_i, t_j), J \geq 1\} - 1$$



Sequence Reconstruction - ℓ Types of Channels

A t -channel system

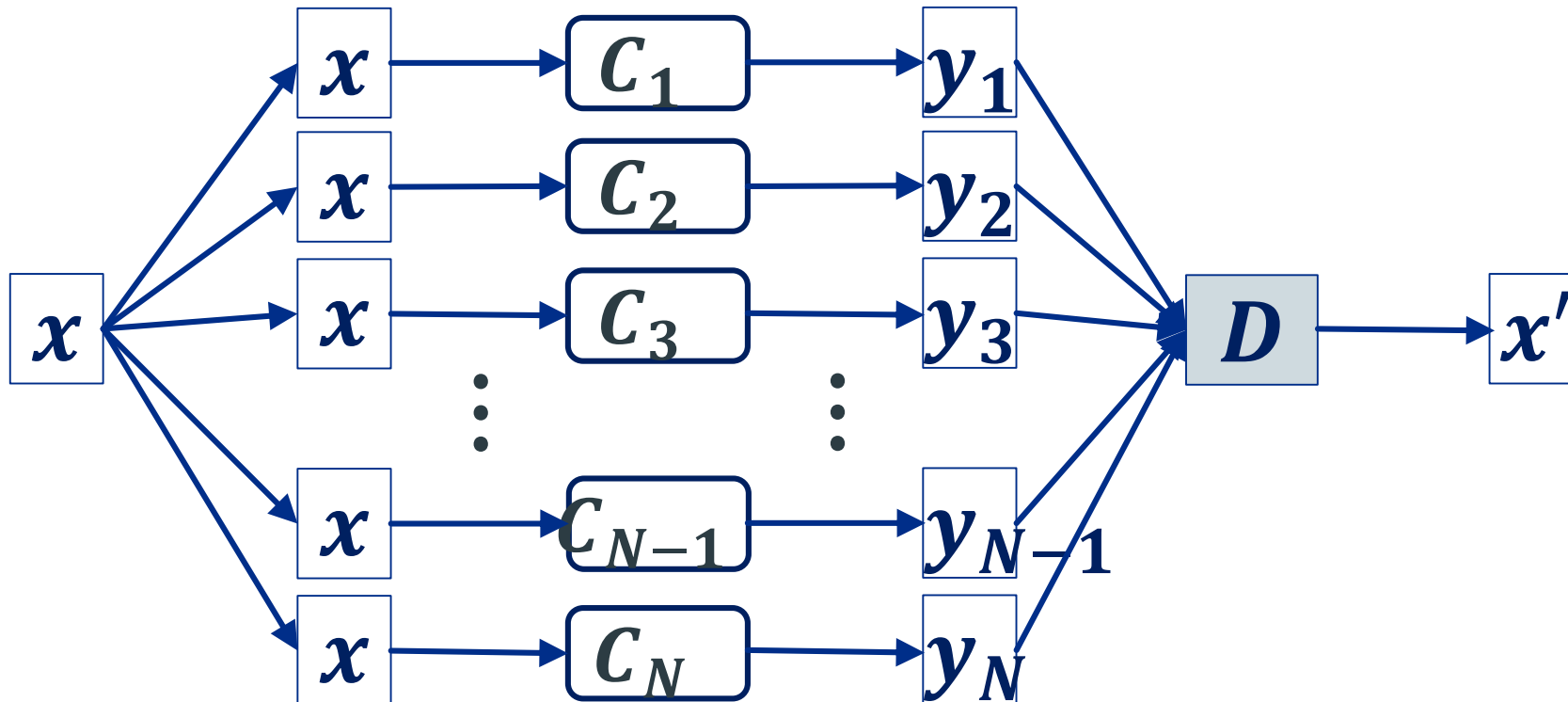
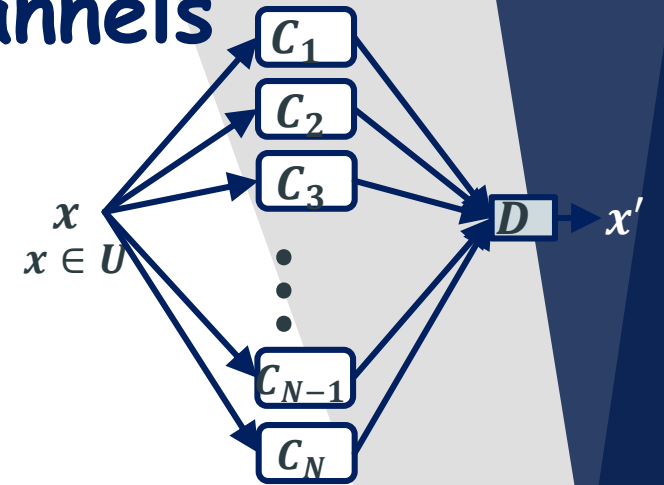
$$x, z \in V, \rho, t \in \mathbb{N}, d = \rho(x, z)$$

A t -channel system:

The average number of errors is t

Solution: If $d > 2t$ then $N(t, U) = 1$.

Otherwise, exact reconstruction is not supported (for any N).



Sequence Reconstruction - ℓ Types of Channels

A t -channel system

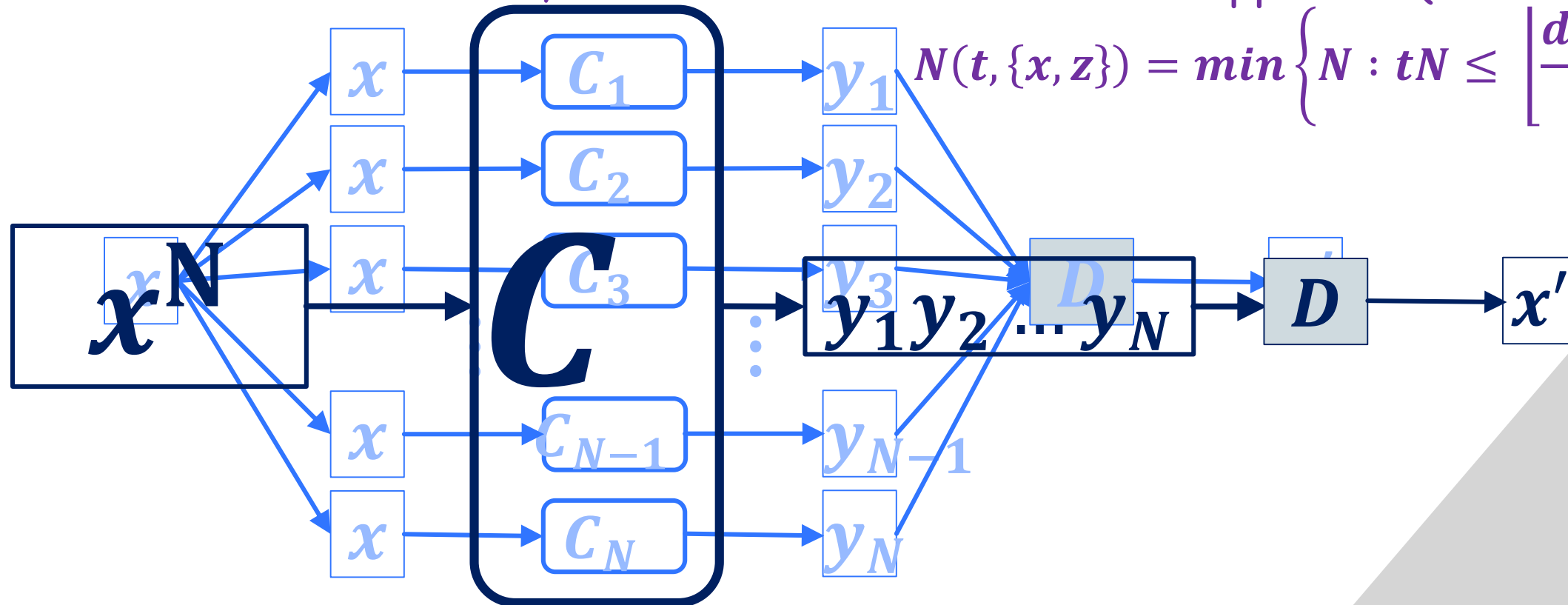
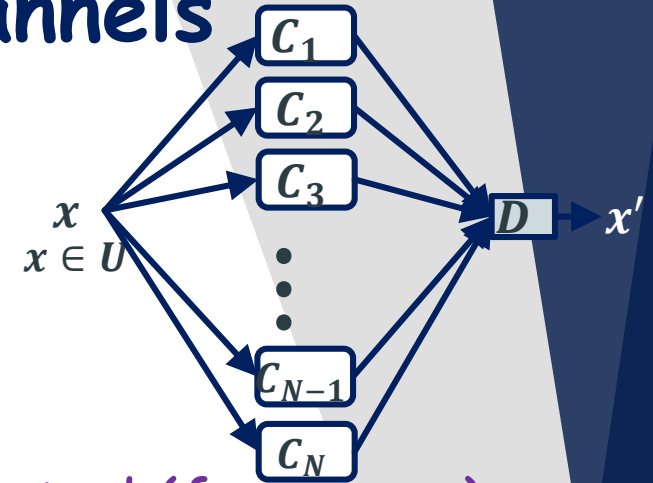
$$x, z \in V, \rho, t \in \mathbb{N}, d = \rho(x, z)$$

A t -channel system:

The average number of errors is t

Solution: If $d > 2t$ then $N(t, U) = 1$.

Otherwise, exact reconstruction is not supported (for any N).



Sequence Reconstruction for Non-Identical Channels

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Sequence Reconstruction for non-identical Channels

Open Problems

Given: U , channels types.

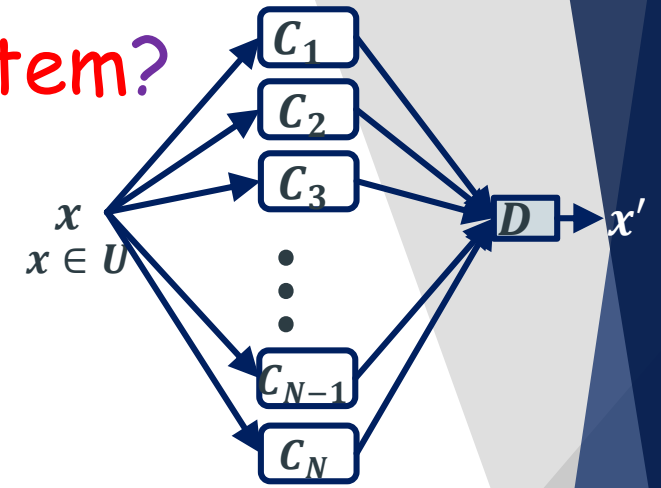
Q: What is the **minimal size of a channel system?**

- ❖ Combination of types of errors.
- ❖ Other data about the number of errors.

Given: A channel system of size N .

Q: What is the **minimal distance of the input?**

- ❖ Combination of types of errors.
- ❖ Some data about the number of errors.



Thank You!

